

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 005231/03-4000	SERIAL NO. 09/385,394	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT John S. Yates, et al.		
				FILED DATE August 30, 1999	GROUP ART UNIT 2183	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
	5,155,835	10/13/1992	Belsan			Nov. 19, 1990
	5,241,664	08/31/1993	Ohba			Jan. 7, 1991
	5,301,287	04/05/1994	Herrell			Feb. 16, 1993
	5,307,504	04/26/1994	Robinson	395	800	Mar. 7, 1991
	5,361,340	11/01/1994	Kelly			Mar. 9, 1993
	5,432,795	07/11/1995	Robinson	371	19	May 15, 1991
	5,454,117	09/26/1995	Puziol	395	800	Aug. 25, 1993
	5,515,518	06/07/1996	Stiles	395	375	Jul. 5, 1994
	5,608,886	03/04/1997	Blomgren	395	586	Aug. 31, 1994
	5,659,679	08/19/1997	Alpert	395	183.1	May 30, 1995
	5,664,159	09/02/1997	Richter	395	500	May 8, 1995
	5,715,421	02/03/1998	Akiyama			Oct. 16, 1992
	5,721,927	02/24/1998	Baraz	395	705	Aug. 7, 1996
	5,754,878	05/19/1998	Asghar	395	800	Mar. 18, 1996
	5,774,287	06/30/1998	Leonhardt			Dec. 9, 1994
	5,781,792	07/14/1998	Asghar	395	800.35	Mar. 18, 1996
	5,784,640	07/21/1998	Asghar	395	800.35	Mar. 18, 1996
	5,790,824	08/04/1998	Asghar	395	385	Mar. 18, 1996
	5,794,068	08/11/1998	Asghar	395	800.35	Mar. 18, 1996
	5,796,939	08/18/1998	Berc, et al.	395	184.01	Mar. 10, 1997
	5,802,272	09/01/1998	Sites, et al.	395	183.21	Dec. 19, 1994
	5,802,373	09/01/1998	Yates	395	705	Jan. 29, 1996
	5,838,962	11/17/1998	Larson	395	586	Apr. 9, 1997
	5,838,978	11/17/1998	Buzbee	395	705	Oct. 9, 1996
	5,847,955	12/08/1998	Mitchell			Jun. 23, 1997
	5,884,087	03/16/1999	Blomgren	395	375	May 8, 1995
	5,901,308	05/04/1999	Cohn			Mar. 18, 1996
	5,905,855	05/18/1999	Klaiber	395	183.07	Feb. 28, 1997
	5,935,220	08/10/1999	Lumpkin			Aug. 9, 1996
	5,949,415	09/07/1999	Lin	345	335	Jun. 16, 1997
	5,958,061	09/28/1999	Kelly	714	1	Jul. 24, 1996
EXAMINER			DATE CONSIDERED			

NOT CONSIDERED - See petition decision of 5/22/2003.

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,999,736	12/07/1999	Gupta			May 9, 1997
	6,005,551	12/21/1999	Osborne			Apr. 25, 1997
	6,011,908	01/04/2000	Wing	395	182.17	Dec. 23, 1996
	6,014,735	01/11/2000	Chennupaty	712	210	Mar. 31, 1998
	6,021,489	02/01/2000	Poplingher	712	239	Jan. 30, 1997
	6,026,478	02/15/2000	Dowling			Dec. 23, 1997
	6,029,004	02/22/2000	Bortnikov			Mar. 17, 1997
	6,032,247	02/29/2000	Asghar	712	35	Nov. 14, 1997
	6,035,393	03/07/2000	Glew	712	237	May 7, 1998
	6,044,221	03/28/2000	Gupta			May 9, 1997
	6,070,009	05/30/2000	Dean			Nov. 26, 1997
	6,081,890	06/27/2000	Datta	713	1	Nov. 30, 1998
	Re. 36,766	07/04/2000	Krauskopf	711	203	Aug. 21, 1992
	6,154,857	11/28/2000	Mann			Dec. 17, 1997
	6,157,970	12/05/2000	Gaffen			Sep. 24, 1997
	6,175,957	01/16/2001	Ju			Dec. 9, 1997
	6,226,789	05/01/2001	Tye			Jan. 29, 1996
	6,237,073	05/22/2001	Dean			Nov. 26, 1997
	6,240,526	05/29/2001	Petivan			Oct. 27, 1999
	6,253,215	06/26/2001	Agesen			Aug. 17, 1998
	6,351,784	02/26/2002	Neal			Dec. 28, 1998
	6,374,369	04/16/2002	O'Donnell			May 21, 1999
	6,397,379	05/28/2002	Yates			Oct. 28, 1999
	6,405,327	06/11/2002	Sipple			Aug. 19, 1998
	6,463,582	10/08/2002	Lethin			Oct. 21, 1998
	6,470,492	10/22/2002	Bala			May 14, 1999
	6,477,683	11/05/2002	Killian			Feb. 5, 1999
	6,480,862	11/12/2002	Gall			Apr. 23, 1999
	6,496,922	12/17/2002	Borrill			Oct. 31, 1994
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO 99/08188 Kelly	02/18/1999	PCT/US	G06F	11/00	
	WO 99/08191 Kelly	02/18/1999	PCT/US	G06F	12/00	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)						
	T.M. Conte, et al., "Accurate and practical profile-driven compilation using the profile buffer," Proceedings of the 29 th Annual International Symposium on Microarchitecture, Paris, France, pp. 36-45 (Dec. 1996)					
	T.M. Conte, et al., "Hardware based profiling: An effective technique for profile-driven optimization," International Journal of Parallel Programming, vol. 24, no. 2 (Feb. 1996)					
	T.M. Conte, et al., "Using branch handling hardware to support profile-driven optimization," Proceedings of the 27 th Annual International Symposium on Microarchitecture San Jose, CA (Dec. 1994)					
	J.S. Cox et al., "Commercializing profile-driven optimization." Proceedings of the 28 th Hawaii International Conference on System Sciences, vol. 3 Maui, HI, pp. 221-228 (Jan. 1995)					
	R.E. Hank, et al., Proceeding of the 28 th Annual International Symposium on Microarchitecture, pp. 158-168 (Dec. 1995)					
	K.N.P. Menezes, "Hardware-based profiling for program optimization," Ph.D. thesis, Department of Electrical and Computer Engineering, North Carolina State University, Raleigh, North Carolina (1997)					
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